# U.S. Department of Justice Washington, DC 20530

# Amendment to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

OMB NO. 1124-0003

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="http://www.fara.gov/">http://www.fara.gov/</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the Administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="http://www.fara.gov/">http://www.fara.gov/</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs. Office of Management and Budget, Washington, DC 20503.

Name of Registrant	2. Registration No.		2 The state of the same of the
The Harbour Group, LLC	5478		
•			
3. This amendment is filed to accomplish the following indicate	ed purpose or purposes:		
To give a 10-day notice of change in information as	s required by Section 2(b) of the	e Act.	
☐ To correct a deficiency in		•	
☐ Initial Statement		•	2010 J CRM/ISS
☐ Supplemental Statement for the period end	ding		734
Other purpose (specify):			2( Ea
To give notice of change in an exhibit previously fi	led.	: -	AM IO
4. If this amendment requires the filing of a document or document	nents, please list -		<u> </u>
Revised Exhibit B. See attached contracts to Exhibit B.			.: 56

5. Each item checked above must be explained below in full detail together with, where appropriate, specific reference to and identity of the item in the registration statement to which it pertains. (If space is insufficient, a full insert page must be used.)

Please see Exhibit B contract attachments.

EX	T/	T۳	T	T	a	n
n A	r.t		, 1	- 1		

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)		(Type or print name under each signature <sup>1</sup> )
///	_	Richard Marcus
	-	
	_	
	,	
	-	

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<sup>1</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

## U.S. Department of Justice Washington, DC 20530

# Exhibit B To Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

OMB NO. 1124-0004

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. One original and two legible photocopies of this form shall be filed for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="http://www.fara.gov">http://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the Administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="http://www.fara.gov">http://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant	2. Registration No.	<u> </u>	
The Harbour Group	5478	; ;	<b>2010 J.</b> CRM/ISS
3. Name of Foreign Principal			SS J.
Embassy of the United Arab Emirates		:	N 20 AM 10: 55 PREGISTRATION UNIT
<u>Ch</u>	Check Appropriate Boxes:		
4. X The agreement between the registrant and the above-na a copy of the contract to this exhibit.	amed foreign principal is a form	al written contract	. If this box is checked, attach
5. There is no formal written contract between the registr principal has resulted from an exchange of correspondence. I copy of any initial proposal which has been adopted by referen	f this box is checked, attach a co		
6. The agreement or understanding between the registrant exchange of correspondence between the parties. If this box i oral agreement or understanding, its duration, the fees and exp	s checked, give a complete desc		

7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

The Harbour Group will provide communications consulting services related specifically to support the work of the US-Emirates Alliance, LLC in implementing a public diplomacy program on behalf of the Embassy of the United Arab Emirates.

8. Describe fully the	e activities the registrant engages in or proposes to engage in	n on behalf of the above foreign	principal.
See copy of c	ontracts attached for the 2009 and 2010	UAE Program	
		1	
		•	
•		;	
		·	
9. Will the activities footnote below?	s on behalf of the above foreign principal include political a  Yes No	ctivities as defined in Section 1(	o) of the Act and in the
	Il such political activities indicating, among other things, the employed to achieve this purpose.	e relations, interests or policies to	be influenced together with
lateral relat These efforts Outreach to m achieves thru	all include efforts to influence United Stions, geopolitical issues, strategic issues, will include meeting with government prediated think tanks, business leaders, expand the dissemination of informational materials, letters, lectures, web sites, meeting	sues, business and invocity makers and opin perts, academia,etc. T erials, press releases	estment issues. ion leaders. his will be , the Internet, E-
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		2	= 0
			/
Date of Exhibit B	Name and Title	Signature	
	Richard Marcus, Managing Director, The Harbour Grou	MUCH	
Footnote: Political activit	y as defined in Section 1(0) of the Act means any activity which the person the Government of the United States or any section of the public within the	engaging in believes will, or that the personal United States with reference to formula	son intends to, in any way influence

domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

CRM/ISS/REGISTRATION UNI



January 1, 2009

Richard I. Mintz US - Emirates Alliance, LLC 2300 N Street, NW **Suite 1200** Washington, DC 20037

RE: 2009 UAE Program

Dear Richard:

The following terms and conditions constitute the entire Consultant's Agreement between The US - Emirates Alliance, LLC (Alliance) and The Harbour Group [THG].

#### **TERMS AND CONDITIONS**

# 1. Purpose of Engagement, Scope and Limitation of Authority

(a) THG agrees to provide communications consulting services related specifically to support the work of the Alliance in implementing a public diplomacy program on behalf of the Embassy of the United Arab Emirates. THG agrees to effectively and diligently perform services hereunder in accordance with sound professional standards and practices and to comply with all applicable laws. THG, to the extent consistent with the performance of obligations hereunder, shall be free to undertake activities pursuant hereto when, where and how THG shall determine.

#### 2. Confidential and Proprietary Information

- (a) THG agrees that all correspondence, documents, drawings and other materials relating to the business of the Alliance, whether or not prepared by THG, and all equipment, supplies and other property in the possession of THG at any time, shall be used exclusively for the purpose the engagement hereunder and shall remain the sole property of the Alliance.
- (b) THG agrees not to disclose or authorize others to disclose to any unauthorized person, at any time, and whether during or following the engagement hereunder, any information, whether or not believed to be of a

**ISSUES MANAGEMENT PUBLIC RELATIONS CRISIS COMMUNICATIONS**  confidential nature, concerning any aspect of the Alliance or its business, partners, suppliers, independent contractors, financial conditions, operating procedure, know-how, or work and organizational methods, or any similar information concerning the business of the Alliance members, affiliates, partners or clients.

# 3. Fees and Expenses

- (a) The Alliance agrees to pay THG a monthly fee based on hours worked and standard billing rates of THG employees. THG will invoice the Alliance within 15 days after the last calendar day of each month for activities performed in the preceding month. (i.e., the invoice sent in November is to cover activities performed in October etc.).
- (b) The Alliance shall reimburse THG for out-of-pocket expenses for travel and other direct charges. A statement of out-of-pocket expenses for travel and other direct charges shall be made to the Alliance by THG at the end of each calendar month for expenses incurred during the previous month

# 4. Termination

- (a) The terms of this agreement shall be effective as of January 1 2009 and may be terminated or reassessed on December 31 2009.
- (b) The Alliance and THG shall each have the right to terminate THG's engagement hereunder for any reason, or for no reason, upon 60 days prior written notice. The Alliance shall also have the right to terminate THG's engagement hereunder without notice in the event of THG's breach of default in the performance of services or compliance with other covenants and agreements hereunder.

#### 5. Miscellaneous

- (a) This Agreement contains the entire agreement and understanding between the parties and can be amended only by written agreement of the parties.
- (b) This agreement shall be governed by the laws of the District of Columbia.

Richard I. Mintz
For The US - Emirates Allianse

Richard Marcus

For The Harbour Group



January 1, 2010

Richard I. Mintz US - Emirates Alliance, LLC 2300 N Street, NW Suite 1200 Washington, DC 20037

RE: 2010 UAE Program

Dear Richard:

The following terms and conditions constitute the entire Consultant's Agreement between The US - Emirates Alliance, LLC (Alliance) and The Harbour Group [THG].

#### **TERMS AND CONDITIONS**

# 1. Purpose of Engagement, Scope and Limitation of Authority

(a) THG agrees to provide communications consulting services related specifically to support the work of the Alliance in implementing a public diplomacy program on behalf of the Embassy of the United Arab Emirates. THG agrees to effectively and diligently perform services hereunder in accordance with sound professional standards and practices and to comply with all applicable laws. THG, to the extent consistent with the performance of obligations hereunder, shall be free to undertake activities pursuant hereto when, where and how THG shall determine.

#### 2. Confidential and Proprietary Information

- (a) THG agrees that all correspondence, documents, drawings and other materials relating to the business of the Alliance, whether or not prepared by THG, and all equipment, supplies and other property in the possession of THG at any time, shall be used exclusively for the purpose the engagement hereunder and shall remain the sole property of the Alliance.
- (b) THG agrees not to disclose or authorize others to disclose to any unauthorized person, at any time, and whether during or following the engagement hereunder, any information, whether or not believed to be of a

confidential nature, concerning any aspect of the Alliance or its business, partners, suppliers, independent contractors, financial conditions, operating procedure, know-how, or work and organizational methods, or any similar information concerning the business of the Alliance members, affiliates, partners or clients.

## 3. Fees and Expenses

- (a) The Alliance agrees to pay THG a monthly fee based on hours worked and standard billing rates of THG employees. (Schedule attached.) THG will invoice the Alliance within 15 days after the last calendar day of each month for activities performed in the preceding month. (i.e., the invoice sent in November is to cover activities performed in October etc.).
- (b) The Alliance shall reimburse THG for out-of-pocket expenses for travel and other direct charges. A statement of out-of-pocket expenses for travel and other direct charges shall be made to the Alliance by THG at the end of each calendar month for expenses incurred during the previous month

## 4. Termination

- (a) The terms of this agreement shall be effective as of January 1 2010 and may be terminated or reassessed on December 31 2010.
- (b) The Alliance and THG shall each have the right to terminate THG's engagement hereunder for any reason, or for no reason, upon 60 days prior written notice. The Alliance shall also have the right to terminate THG's engagement hereunder without notice in the event of THG's breach of default in the performance of services or compliance with other covenants and agreements hereunder.

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Date:	 -/	· 	16	<u>)                                    </u>	

Richard I. Mintz
For The US - Emirates Allianice

Richard Marcus For The Harbour Group

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